PART B - FEE(S) TRANSMITTAL Complete and sent mi form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 223 3-1450 JAN 17 2006 (571) 273-2885 5 or <u>Fax</u> INSTRUCTIONS. This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (it appired). Blocks 1 torough 5 should be used address appropriate. All thather correspondence including the Patent, advance orders and notification of maintenance access will be maded to the current correspondence address an indicated unless of acted below or threeted otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notificate of properties. (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for a restite madines of the Note: A certificate of mailing our only be used for investic mailings of the Fee(s) Transmittai. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment of formal drawing must have its own certificate of mailing or transmission. 7590 10/14/2005 hapin Intellectual Property Law, UC Barry W. Chapin Esq. Certificate of Mailing or Train a lead to I hereby certify that this Fee(s) Transmittal is being layosited with the United States Postal Service with sufficient postage for intida is marrin an envelope addressed to the Mail Stop ISSUE FEE address above, to being facsimila transmitted to be USPTO (571) 273-2885, on the date indicated below. CHAPIN & HUANG, LLC ≈ 1700 West Park Drive Westborough Office Park Westborough, MA 01581 (Depender's name) 01/19/2006 FMETEKI2 00000048 09973784 (...matere) (Date) 01 FC:1501 1400.00 OP 02 FC:1504 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/97...784 10/09/2001 Phillip Mark Scheed SUN 04-27 (PS 67) 7277

TITLE OF INVENTION: METHOD, SYSTEM, AND PROGRAM FOR MANAGING ACCESSES TO DATA OBJECTS BY MULTIPLE USER PROGRAMS OVER A 10/09/2001 NETWORK APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1400 \$300 \$1700 01/17/2006 EXAMINER ART UNIT CLASS-SUBCLASS ROBINSON, GRETA LEE 2168 707-002000 1. Change of correspondence address or indication of "Fee Address" (37 2. For printing on the patent front page, list Chapin IP Law, LLC (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, Barry W. Chapin, Esq. (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) SUN Microsystems, Inc. Palo Alto, CA Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🚨 Corporation or other private group entity 🚨 Government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): X Issue Fee A check in the amount of the fee(s) is enclosed. N Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). Advance Order - # of Copies Deposit Account Number 50-3735 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature January 17, 2006 Typed or printed name Barry W. Chapin, Esq. 39,934 Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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DATE OF NOTICE OF ALLOWABILITY: October 14, 2005



ATTORNEY DOCKET NO.: SUN04-22(P5507)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Phillip Mark Schein

Serial No.:

09/973,784

For:

METHOD, SYSTEM, AND PROGRAM FOR MANAGING ACCESSES TO

DATA OBJECTS BY MULTIPLE USER PROGRAMS OVER A NETWORK

Filing Date: October 9, 2001

Examiner:

Greta Lee Robinson

Art Unit:

2168

Conf. No.: 7277

Certificate of Express Mail Under 37 C.F.R. §1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: MAIL STOP ISSUE FEE, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on:

Date:	January 17, 2006	By:	Farah Z.	Frasco	
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(Typed or printed name of person mailing Document, whose signature appears below)

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TRANSMITTAL LETTER

Sir:

Enclosed is/are:

U.S. Application No.: 09/973,784 Attorney Docket No.: SUN04-22(P5507)

-2-

- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Part B Issue Fee Transmittal (1 page, in duplicate), Total Pages: 2;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-3735;
- [x] Check in the amount of \$1,700.00 to cover Issue Fee and Publication Fee.

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. <u>50-3735</u>.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

Barry W. Chapin, Esq. Attorney for Applicants Registration No.: 39,934

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Attorney Docket No.: SUN04-22(P5507)

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Dated: January 17, 2006